

## Brett Dalton

CFP®

Financial Advisor

Managing Director

Ameriprise Financial Services, Inc.



### My background

#### Business Experience

2013 to present, Financial Advisor,  
Ameriprise Financial Services, Inc.,  
Woodland Hills, CA

2004 to 2013, Financial Advisor,  
Metlife Financial Services, Los Angeles,  
CA

#### Education

Bachelor  
UCLA, Los Angeles, CA

#### Designations

CFP®

#### Awards and Recognition

Ameriprise Circle of Success, 2014  
Five Star Wealth Manager, 2016

#### Professional associations

Financial Planning Association  
Million Dollar Round Table

### My approach

#### A unique and disciplined approach

I am passionate about working with clients to help them meet their financial needs and plan for the future. I will look at your entire financial picture, including cash reserves and debt management, investments, protection and taxes. I also ask the right questions and listen closely to your answers. That way, you can feel confident that the advice I provide reflects your personal dreams and goals.

#### Areas of focus

- Investments
- Employee stock options
- Retirement income strategies
- Executive compensation and benefit strategies
- Insurance
- Retirement planning strategies

#### My clients

You have dreams and goals, and we'll work together to help put them more within reach. As an advisor living and working in your community, I'm available to work with you in a way that meets your needs. I gain tremendous satisfaction in knowing that people in my community are more confident about their financial futures.

I also work closely with:

- professionals
- business owners
- retirees

## About me

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### Community participation

-Served on the Northridge Hospital Foundation  
-Metropolitan Educational Theater

### Interests

-Family  
-Travel  
-Snowboarding/ Skiing  
-Beach Activities  
-Theater

### Personal/Family information

Brett and his wife Shawna have two daughters, Olivia and Faith. There is nothing he loves more than watching his children grow and participate in all of their activities.

## The Ameriprise Financial story

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We are, and have been for over a century, a company on a mission. Our advisors help clients achieve their financial goals through personal financial planning and advice. Over the years, we've helped millions of people invest and save billions of dollars for what's important to them. The strength of our commitment is matched only by our strength as a company. Through downturns, recessions, booms and even depressions, we have always honored our financial obligations to clients. In today's complex financial world, our advisors help clients by providing a steady voice, a listening ear and a clear vision of financial opportunities and pitfalls. Through their ongoing relationship with you, our advisors identify and tailor solutions for your specific needs. That's how, together, we help put more within reach for you and your loved ones.

## Contact me

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-  CA Insurance #0D35575

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The Five Star Wealth Manager award, administered by Crescendo Business Services, LLC (dba Five Star Professional), is based on 10 objective criteria: 1. Credentialed as a registered investment adviser or a registered investment adviser representative; 2. Actively employed as a credentialed professional in the financial services industry for a minimum of five years; 3. Favorable regulatory and complaint history review (please note unfavorable feedback may have been discovered through a check of complaints registered with a regulatory authority or complaints registered through Five Star Professional's consumer complaint process\*); 4. Fulfilled their firm review based on internal firm standards; 5. Accepting new clients; 6. One-year client retention rate; 7. Five-year client retention rate; 8. Non-institutional discretionary and/or non-discretionary client assets administered; 9. Number of client households served; 10. Education and professional designations. Wealth managers do not pay a fee to be considered or awarded. Once awarded, wealth managers may opt to purchase additional profile ad space or related award promotional products. The award methodology does not evaluate the quality of services provided. The award is not indicative of the award winner's future performance. \*To qualify as having a favorable regulatory and complaint history for this award, the person cannot have (1) been subject to a regulatory action that resulted in a license being suspended or revoked, or payment of a fine, (2) had more than a total of three customer complaints filed against them (settled or pending) with any regulatory authority or Five Star Professional's consumer complaint process, (3) individually contributed to a financial settlement of a customer complaint filed with a regulatory authority, (4) filed for bankruptcy, or (5) been convicted of a felony.

**For additional important information about an advisor please check FINRA BrokerCheck at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or call (800) 289-9999.**

Ameriprise Financial and its representatives do not provide tax or legal advice. Consult your tax advisor or attorney regarding specific tax issues.

Ameriprise Financial cannot guarantee future financial results.

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